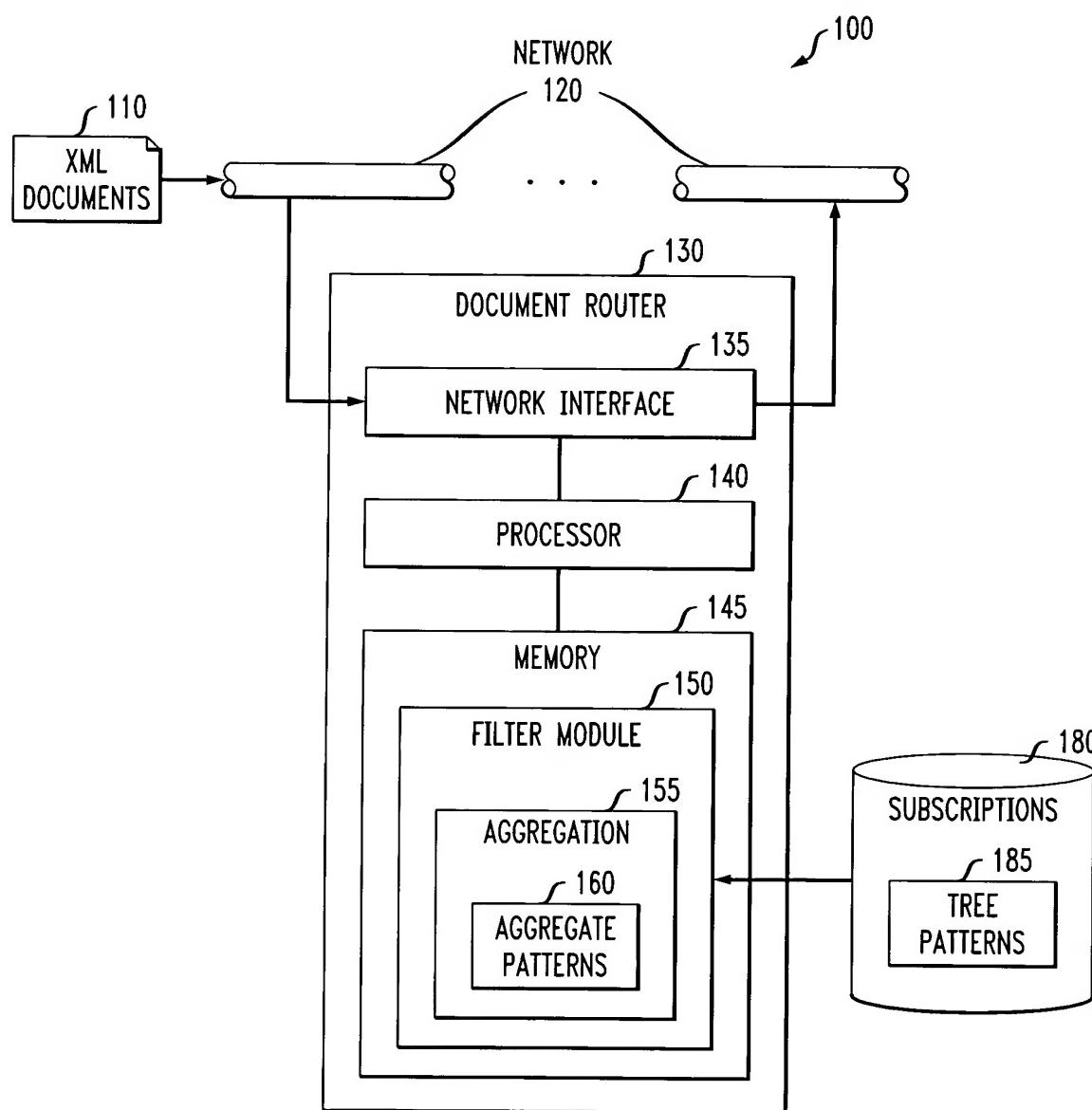


OCT 14 2003
PATENT & TRADEMARK OFFICE

CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

1/10

FIG. 1





CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

2/10

FIG. 2A
 P_a

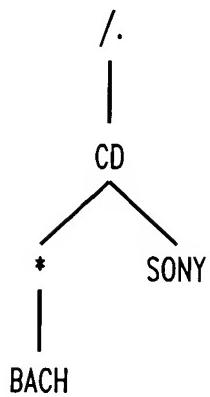


FIG. 2B
 P_b



FIG. 2C
 P_c

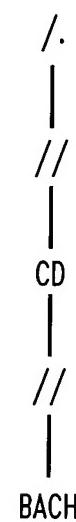


FIG. 2D
 P_d

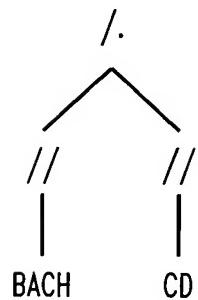
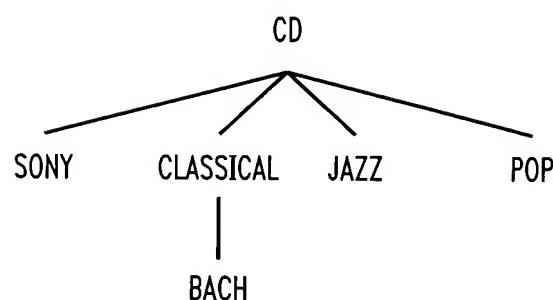


FIG. 2E
 T



3/10

FIG. 3A
 P_a

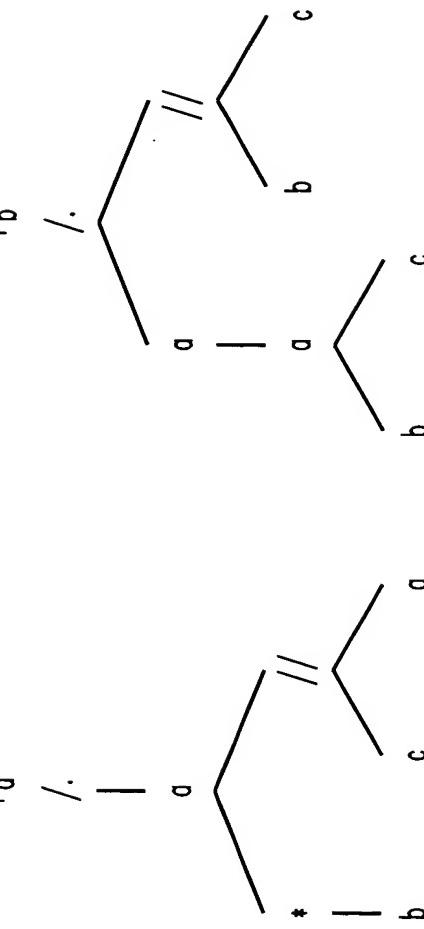


FIG. 3B
 P_b

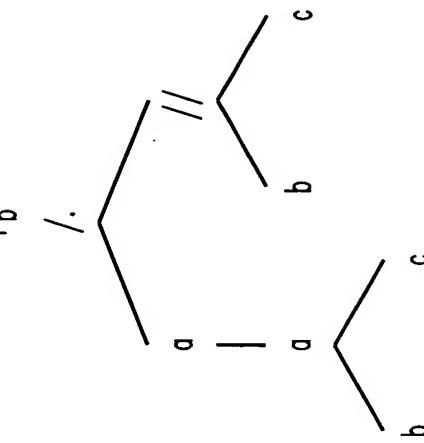


FIG. 3C
 P_c

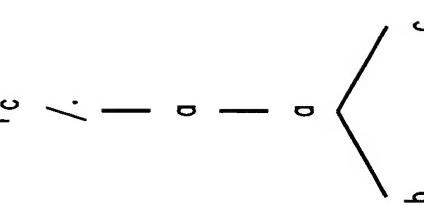


FIG. 3D
 P_d

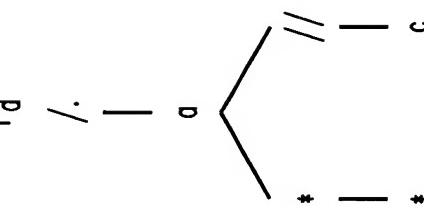


FIG. 3E
 P_e

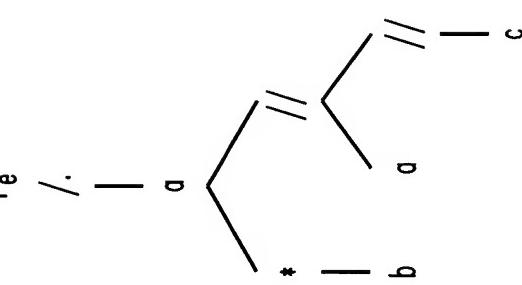


FIG. 3F
 P_f

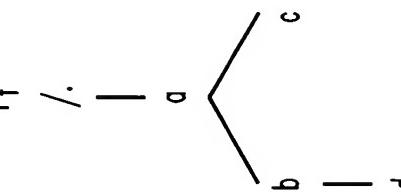


FIG. 3G
 P_g

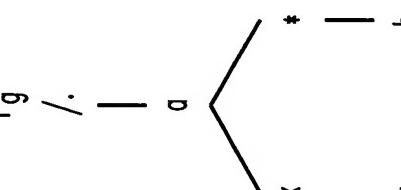
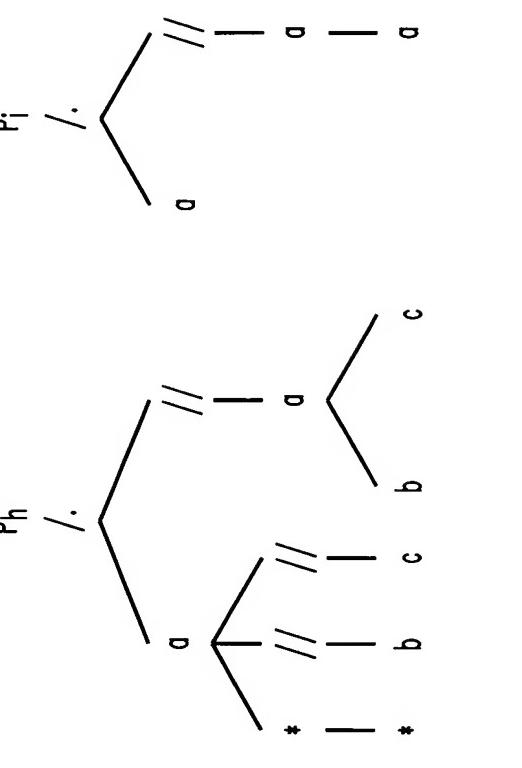


FIG. 3H
 P_h





CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

4/10

FIG. 4A

METHOD LUB (p, q)

Input: p and q are tree patterns.

Output: A tree pattern representing the LUB of p and q .

- 1) **if** ($q \sqsubseteq p$) **then return** p ;
- 2) **if** ($p \sqsubseteq q$) **then return** q ;
- 3) Initialize $TCSubPat[v, w] = \emptyset$,
 $\forall v \in \text{Nodes}(p), \forall w \in \text{Nodes}(q);$
- 4) Let v_{root} and w_{root} denote the root nodes of p and q , resp.;
- 5) **for each** $v \in \text{Child}(v_{root}, p)$ **do**
- 6) **for each** $w \in \text{Child}(w_{root}, q)$ **do**
- 7) $TCSubPat[v, w] = \text{LUB_SUB} (v, w, TCSubPat);$
- 8) Create a tree pattern x with root node label $/$. and
the set of child sub-patterns
$$\bigcup_{v \in \text{Child}(v_{root}, p), w \in \text{Child}(w_{root}, q)} TCSubPat[v, w];$$
- 9) **return** $\text{MINIMIZE} (x);$



5/10

FIG. 4B

METHOD LUB_SUB ($v, w, TCSubPat$)

Input: v, w are nodes in tree patterns p, q (respectively),
 $TCSubPat$ is a 2-dimensional array such that
 $TCSubPat[v, w]$ is the set of tightest container
 sub-patterns of $Subtree(v, p)$ and $Subtree(w, q)$.

Output: $TCSubPat[v, w]$.

- 1) **if** ($TCSubPat[v, w] \neq \emptyset$) **then**
- 2) **return** $TCSubPat[v, w]$;
- 3) **else if** ($Subtree(w, q) \sqsubseteq Subtree(v, p)$) **then**
- 4) **return** $\{Subtree(v, p)\}$;
- 5) **else if** ($Subtree(v, p) \sqsubseteq Subtree(w, q)$) **then**
- 6) **return** $\{Subtree(w, q)\}$;
- 7) **else**
- 8) Initialize $R = \emptyset$; $R' = \emptyset$; $R'' = \emptyset$;
- 9) **for** each $v' \in Child(v, p)$ **do**
- 10) **for** each $w' \in Child(w, q)$ **do**
- 11) $R = R \cup LUB_SUB (v', w', TCSubPat)$;
- 12) **for** each $v' \in Child(v, p)$ **do**
- 13) $R' = R' \cup LUB_SUB (v', w, TCSubPat)$;
- 14) **for** each $w' \in Child(w, q)$ **do**
- 15) $R'' = R'' \cup LUB_SUB (v, w', TCSubPat)$;
- 16) Let x be the pattern with root node label $MaxLabel(v, w)$
 and set of child subtree patterns R ;
- 17) Let x' be the pattern with root node label //
 and set of child subtree patterns R' ;
- 18) Let x'' be the pattern with root node label //
 and set of child subtree patterns R'' ;
- 19) **return** $TCSubPat[v, w] = \{x, x', x''\}$;

6/10

FIG. 5A

METHOD CONTAINS (p, q)

Input: p and q are two tree patterns.

Output: Returns *true* if $q \sqsubseteq p$; *false* otherwise.

- 1) Initialize $Status[v, w] = null$,
 $\forall v \in Nodes(p), \forall w \in Nodes(q);$
- 2) Let v_{root} and w_{root} denote the root nodes of p and q , resp.;
- 3) **if** $(Child(v_{root}, p) = \emptyset)$ **then**
- 4) **return** *true*;
- 5) **else**
- 6) **return** CONTAINS_SUB ($v_{root}, w_{root}, Status$);

OCT 14 2003
U.S. PATENT & TRADEMARK OFFICE

CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

7/10

FIG. 5B

METHOD CONTAINS_SUB ($v, w, Status$)

Input: v, w are nodes in tree patterns p, q (respectively),
 $Status$ is a 2-dimensional array such that each
 $Status[v, w] \in \{null, false, true\}$.

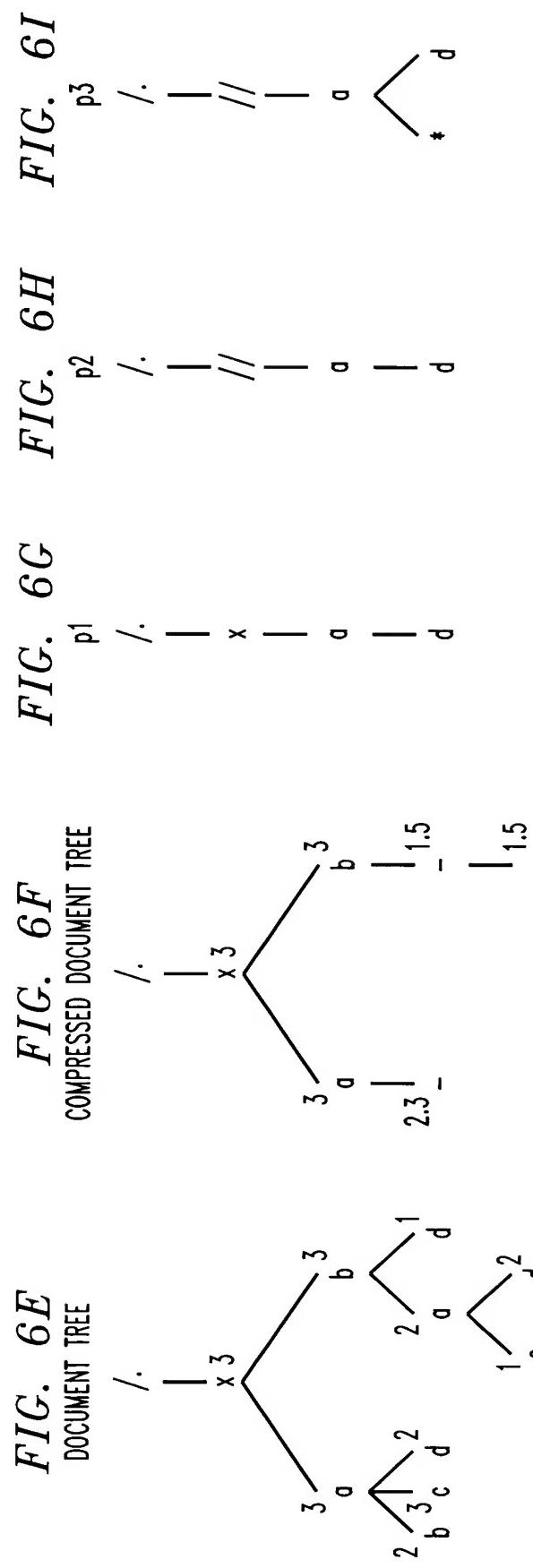
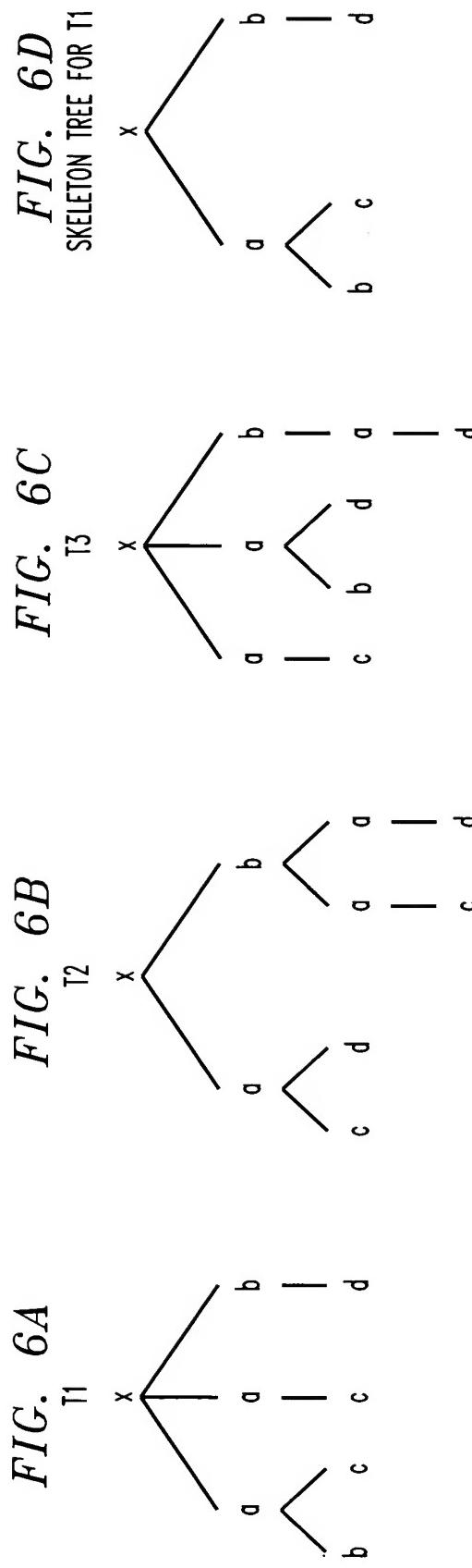
Output: $Status[v, w]$.

- 1) **if** ($Status[v, w] \neq null$) **then**
- 2) **return** $Status[v, w]$;
- 3) **if** (v is a leaf node in p) **then**
- 4) $Status[v, w] = (\text{label}(w) \preceq \text{label}(v))$;
- 5) **else if** ($\text{label}(w) \not\preceq \text{label}(v)$) **then**
- 6) $Status[v, w] = \text{false}$;
- 7) **else**
- 8) $Status[v, w] = \bigwedge_{v' \in \text{Child}(v, p)} \left(\bigvee_{w' \in \text{Child}(w, q)} \text{CONTAINS_SUB } (v', w', Status) \right);$
- 9) **if** ($Status[v, w] = \text{false}$) **and** ($\text{label}(v) = //$) **then**
- 10) $Status[v, w] = \bigwedge_{v' \in \text{Child}(v, p)} \text{CONTAINS_SUB } (v', w, Status);$
- 11) **if** ($Status[v, w] = \text{false}$) **and** ($\text{label}(v) = //$) **then**
- 12) $Status[v, w] = \bigvee_{w' \in \text{Child}(w, q)} \text{CONTAINS_SUB } (v, w', Status);$
- 13) **return** $Status[v, w]$;

OCT 1 4 2003
PATENT & TRADEMARK OFFICE

CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

8/10





CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

9/10

FIG. 7

METHOD $SEL(v, t)$

Input: v is a node in tree pattern p , t is a node in DT .

Output: $SelSubPat[v, t]$.

- 1) **if** ($SelSubPat[v, t]$ is already computed) **then**
- 2) **return** $SelSubPat[v, t]$;
- 3) **else if** ($label(t) \not\leq label(v)$) **then**
- 4) **return** $SelSubPat[v, t] = 0$;
- 5) **else if** (v is a leaf) **then**
- 6) **return** $freq(t)/N$;
- 7) **for** each child $v_c \in Child(v, p)$ **do**
- 8) $Sel_{v_c} = \max_{t_c \in Child(t, DT)} \{SEL(v_c, t_c)\}$;
- 9) $Sel = \prod_{v_c \in Child(v, p)} Sel_{v_c}$;
- 10) **if** ($label(v) = //$) **then**
- 11) $Sel_v = \prod_{v_c \in Child(v, p)} SEL(v_c, t)$;
- 12) $Sel = \max\{Sel, Sel_v\}$;
- 13) $Sel_v = \max_{t_c \in Child(t, DT)} \{SEL(v, t_c)\}$;
- 14) $Sel = \max\{Sel, Sel_v\}$;
- 15) **return** $SelSubPat[v, t] = Sel$



CHAN 3-1-2-14-51
Serial No.: 10/600,996
Ryan, Mason & Lewis, LLP; R. J. Mauri (203) 255-6560

10/10

FIG. 8

METHOD AGGREGATE (S, k)

Input: S is a set of tree patterns, k is a space constraint.

Output: A set of tree patterns S' such that $S \sqsubseteq S'$
and $\sum_{p \in S'} |p| \leq k$.

- 1) Initialize $S' = S$;
- 2) **while** ($\sum_{p \in S'} |p| > k$) **do**
- 3) $C_1 = \{x \mid x = \text{PRUNE}(p, |p| - 1), p \in S'\}$;
- 4) $C_2 = \{x \mid x = \text{PRUNE}(p \sqcup q, |p| + |q| - 1), p, q \in S'\}$;
- 5) $C = C_1 \cup C_2$;
- 6) Select $x \in C$ such that $\text{Benefit}(x)$ is maximum;
- 7) $S' = S' - \{p \mid p \sqsubseteq x, p \in S'\} \cup \{x\}$;
- 8) **return** S' ;